

09/14/01

Sector \$



EXPRESS MAIL NO.: EL 7557243&2 US

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number 09/845,786

Filing Date April 30, 2001

First Named Inventor Svetlana Doronina

Group Art Unit 1614

Examiner Name

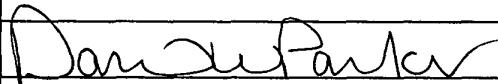
Attorney Docket No. 840065.425

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> CD(s), Number of CD(s) _____
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawings(s)	<input type="checkbox"/> After Allowance
<input checked="" type="checkbox"/> Amendment/Response	<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> Communication to Group
<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Information Disclosure Statement; Form PTO-1449	<input checked="" type="checkbox"/> Declaration	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Cited References	<input type="checkbox"/> Statement under 37 CFR 3.73(b)	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<u>Copy of Notice to File Missing Parts of Application</u>
<input checked="" type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Small Entity Statement	_____
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Request for Refund	_____

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	David W. Parker	 00500 <small>PATENT TRADEMARK OFFICE</small>
Signature		
Date	September 12, 2001	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name		
Signature		Date:



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 475)

Complete if Known

Application Number	09/845,786
Filing Date	April 30, 2001
First Named Inventor	Svetlana Dronina
Examiner Name	
Group Art Unit	1614
Attorney Docket No.	840065.425

METHOD OF PAYMENT					FEE CALCULATION (continued)																																																																																																																																																																																																
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number 19-1090 Deposit Account Name Seed Intellectual Property Law Group PLLC					3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>65</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>55</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>130</td><td>123</td><td>130</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="5">*Reduced by Basic Filing Fee Paid</td> <td>SUBTOTAL (3) (\$ 120)</td> </tr> </tbody> </table>					Large Entity	Small	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	65	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for ex parte reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month	55	116	390	216	195	Extension for reply within second month		117	890	217	445	Extension for reply within third month		118	1,390	218	695	Extension for reply within fourth month		128	1,890	228	945	Extension for reply within fifth month		119	310	219	155	Notice of Appeal		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,240	241	620	Petition to revive - unintentional		142	1,240	242	620	Utility issue fee (or reissue)		143	440	243	220	Design issue fee		144	600	244	300	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	130	123	130	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279	355	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		Other fee (specify) _____						*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$ 120)
Large Entity	Small																																																																																																																																																																																																				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																
105	130	205	65	Surcharge - late filing fee or oath	65																																																																																																																																																																																																
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																	
139	130	139	130	Non-English specification																																																																																																																																																																																																	
147	2,520	147	2,520	For filing a request for ex parte reexamination																																																																																																																																																																																																	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																	
115	110	215	55	Extension for reply within first month	55																																																																																																																																																																																																
116	390	216	195	Extension for reply within second month																																																																																																																																																																																																	
117	890	217	445	Extension for reply within third month																																																																																																																																																																																																	
118	1,390	218	695	Extension for reply within fourth month																																																																																																																																																																																																	
128	1,890	228	945	Extension for reply within fifth month																																																																																																																																																																																																	
119	310	219	155	Notice of Appeal																																																																																																																																																																																																	
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																																																																	
121	270	221	135	Request for oral hearing																																																																																																																																																																																																	
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																	
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																																	
141	1,240	241	620	Petition to revive - unintentional																																																																																																																																																																																																	
142	1,240	242	620	Utility issue fee (or reissue)																																																																																																																																																																																																	
143	440	243	220	Design issue fee																																																																																																																																																																																																	
144	600	244	300	Plant issue fee																																																																																																																																																																																																	
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																	
123	130	123	130	Petitions related to provisional applications																																																																																																																																																																																																	
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																	
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																	
179	710	279	355	Request for Continued Examination (RCE)																																																																																																																																																																																																	
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																																	
Other fee (specify) _____																																																																																																																																																																																																					
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$ 120)																																																																																																																																																																																																
2. Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other																																																																																																																																																																																																					
FEE CALCULATION																																																																																																																																																																																																					
1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td>355</td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="4"></td><td>SUBTOTAL (1)</td><td>(\$ 355)</td></tr> </tbody> </table>										Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	355	106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee						SUBTOTAL (1)	(\$ 355)																																																																																																																																																
Large Entity	Small Entity																																																																																																																																																																																																				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																
101	710	201	355	Utility filing fee	355																																																																																																																																																																																																
106	320	206	160	Design filing fee																																																																																																																																																																																																	
107	490	207	245	Plant filing fee																																																																																																																																																																																																	
108	710	208	355	Reissue filing fee																																																																																																																																																																																																	
114	150	214	75	Provisional filing fee																																																																																																																																																																																																	
				SUBTOTAL (1)	(\$ 355)																																																																																																																																																																																																
2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th></th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>1</td> <td>-20** = 0</td> <td>X _____ = _____</td> </tr> <tr> <td>Independent Claims</td> <td>1</td> <td>-3** = 0</td> <td>X _____ = _____</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td>X _____ = _____</td> <td></td> </tr> <tr> <td colspan="4"> Large Entity Small Entity Fee Code (\$) Fee Code (\$) Fee Description </td> </tr> <tr> <td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td> </tr> <tr> <td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td> </tr> <tr> <td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td> </tr> <tr> <td>109</td><td>80</td><td>209</td><td>40</td><td>** Reissue independent claims over original patent</td> </tr> <tr> <td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="4">SUBTOTAL (2) (\$)</td> </tr> </tbody> </table>											Extra Claims	Fee from below	Fee Paid	Total Claims	1	-20** = 0	X _____ = _____	Independent Claims	1	-3** = 0	X _____ = _____	Multiple Dependent		X _____ = _____		Large Entity Small Entity Fee Code (\$) Fee Code (\$) Fee Description				103	18	203	9	Claims in excess of 20	102	80	202	40	Independent claims in excess of 3	104	270	204	135	Multiple dependent claim, if not paid	109	80	209	40	** Reissue independent claims over original patent	110	18	210	9	** Reissue claims in excess of 20 and over original patent	SUBTOTAL (2) (\$)																																																																																																																																														
	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																																		
Total Claims	1	-20** = 0	X _____ = _____																																																																																																																																																																																																		
Independent Claims	1	-3** = 0	X _____ = _____																																																																																																																																																																																																		
Multiple Dependent		X _____ = _____																																																																																																																																																																																																			
Large Entity Small Entity Fee Code (\$) Fee Code (\$) Fee Description																																																																																																																																																																																																					
103	18	203	9	Claims in excess of 20																																																																																																																																																																																																	
102	80	202	40	Independent claims in excess of 3																																																																																																																																																																																																	
104	270	204	135	Multiple dependent claim, if not paid																																																																																																																																																																																																	
109	80	209	40	** Reissue independent claims over original patent																																																																																																																																																																																																	
110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																	
SUBTOTAL (2) (\$)																																																																																																																																																																																																					
**or number previously paid, if greater; For Reissues, see above																																																																																																																																																																																																					

SUBMITTED BY			
Name (Print/Type)	David W. Parker		Registration No. Attorney/Agent) 37,414
Firm Name/Address			
Signature			Date September 12, 2001



00500

PATENT TRADEMARK OFFICE



Express Mail No.: EL 755724382 US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Svetlana Doronina et al.
Application No. : 09/845,786
Filed : April 30, 2001
For : PENTAPEPTIDE COMPOUNDS AND USES RELATED
THERETO

Art Unit : 1614
Docket No. : 840065.425
Date : September 12, 2001

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents:

In response to the Notice to File Missing Parts dated June 26, 2001 please find enclosed the following documents:

Fee
 Declaration and Power of Attorney
 Copy of Notice to File Missing Parts
 Preliminary Amendment
 Other Petition for an Extension of Time

Respectfully submitted,
Seed Intellectual Property Law Group PLLC



David W. Parker
Registration No. 37,414



EXPRESS MAIL NO.: EL755724382US

PTO/SB/22 (10-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number
840065.425

In re Application of Svetlana Doronina et al.

Application Number 09/845,786 Filed April 30, 2001

For PENTAPEPTIDE COMPOUNDS AND USES RELATED THERETO

Group Art Unit
1614 Examiner

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a Reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows
(check time period desired):

<input checked="" type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$110
<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$_____
<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$_____
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$_____
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$_____

Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ 55.

A check in the amount of the fee is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Commissioner has already been authorized to charge fees in this application to a Deposit Account.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account Number 19-1090.

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, to Deposit Account Number 19-1090.

I am the applicant/inventor.

assignee of record of the entire interest. See 37 CFR 3.71

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

attorney or agent of record.

attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a). _____.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

September 12, 2001

Date

Signature

David W. Parker

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

1214529v1.doc

09/17/2001 11:00:00
03 FC:215
55.00 (P)



O I P E

SEP 12 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: EL755724382US

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/845,786	04/30/2001	Svetlana Doronina	840065.425

CONFIRMATION NO. 4343

00500
 SEED INTELLECTUAL PROPERTY LAW GROUP PLLC
 701 FIFTH AVE
 SUITE 6300
 SEATTLE, WA 98104-7092

FORMALITIES LETTER



OC000000006228530

RECEIVED

JUN 29 2001

Date Mailed: 06/26/2001

SEED INTELLECTUAL PROPERTY
LAW GROUP PLLC
NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2554.
 - \$2259 for 251 total claims over 20.
 - \$160 for 4 independent claims over 3 .
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2974.

A copy of this notice MUST be returned with the reply.

H.T

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

355.00
65.00
2974.00

00000131 09/25/01

TUE 11

09/17/2001 TUE 11
01 FC:250
02 FC:255